

# 3 +

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95  <b>TRANSMITTAL FORM</b>  (to be used for all correspondence during pendency of filed application)	Department of Commerce Patent and Trademark Office	Application Number	09/426,567
		Filing Date	October 22, 1999
		First Named Inventor	David Banks
		Group Art Unit Number	2731
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission	19	Attorney Docket Number	3676 US

**ENCLOSURES (check all that apply)**

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input checked="" type="checkbox"/> Checks Enclosed (\$130.00 / Declaration Surcharge,<br>\$146.00 / Remainder of Filing Fee & \$468.00 / 26 Extra Claims)<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Response to Notice to File Missing Parts<br><input type="checkbox"/> Assignment & Recordation Cover Sheet<br><input checked="" type="checkbox"/> Declaration (executed)<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Information Disclosure Statement & PTO-1449<br><input type="checkbox"/> Copies of IDS Cited References<br><input checked="" type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Request for Correction of Recorded Assignment<br><input type="checkbox"/> Amendment/Response: [ ] Page(s)<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Status Request<br><input type="checkbox"/> Revocation and Power of Attorney | <input type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Letter to Chief Draftsperson<br><input type="checkbox"/> Formal Drawing(s):<br>[ ] Sheet(s) of Figure(s) [ ]<br><input type="checkbox"/> Appeal Communication to Board of Appeals and<br>Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> After Allowance Communication to Group<br><input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of<br>Application/Part 2<br><input checked="" type="checkbox"/> Notice of Change of Small Entity Status<br><input checked="" type="checkbox"/> Copy of Official Filing Receipt<br><input checked="" type="checkbox"/> Copy of original unexecuted Declaration<br>submitted at time of filing application<br>(for Request for Corrected Filing Receipt)<br><input checked="" type="checkbox"/> Copy of executed Declaration<br>(for Request for Corrected Filing Receipt) |
|---|---|

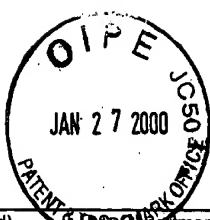
**REMARKS:****SIGNATURE OF ATTORNEY OR AGENT**

Signature:	<i>Renée DuBord</i>		
Attorney/Reg. No.:	Renée M. DuBord, Reg. No. 42,500	Dated:	1/18/00

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	<i>Renée DuBord</i>		
Typed or Printed Name:	Renée M. DuBord	Dated:	1/18/00
Express Mail Mailing Number (optional):			



PTO/SB/17 (6-95)(modified)  
Approved for use through 11/30/96, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	<b>Complete if Known</b>	
		Application Number	09/426,567
<b>FEE TRANSMITTAL</b>		Filing Date	October 22, 1999
		First Named Inventor	David Banks
		Group Art Unit	2731
		Examiner Name	Not yet assigned
		Attorney Docket Number	3676 US
<b>TOTAL AMOUNT OF PAYMENT</b>			
Subtotal (1) + Subtotal (2) + Subtotal (3) =		(\$) <b>744.00</b>	

METHOD OF PAYMENT		FEE CALCULATION (continued)			
<b>1. The Commissioner is hereby authorized to:</b>		<b>3. ADDITIONAL FEES</b>			
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>1</sup>		105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="checkbox"/>
<input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.		127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<b>130</b>
Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP		147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="checkbox"/>
A Duplicate Copy of this authorization is attached		115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	<input type="checkbox"/>
<b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b>		116/\$380	216/\$190	Extension for response within second month <sup>†</sup>	<input type="checkbox"/>
[ X ] Checks [ ] Other		117/\$870	217/\$435	Extension for response within third month <sup>†</sup>	<input type="checkbox"/>
<b>FEE CALCULATION</b> (fees effective 11/12/98)		118/\$1,360	218/\$680	Extension for response within fourth month <sup>†</sup>	<input type="checkbox"/>
<b>1. FILING FEE</b>		128/\$1,850	228/\$925	Extension for response within fifth month <sup>†</sup>	<input type="checkbox"/>
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	119/\$300	219/\$150	Notice of Appeal	<input type="checkbox"/>
Fee Description	Fee Due	141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	<input type="checkbox"/>
101/\$760	201/\$380	142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	<input type="checkbox"/>
106/\$310	206/\$155	143/\$430	243/\$215	Design Issue Fee	<input type="checkbox"/>
108/\$760	208/\$380	122/\$130	122/\$130	Petitions to the Commissioner	<input type="checkbox"/>
114/\$150	214/\$75	123/\$50	123/\$50	Petitions related to provisional applications	<input type="checkbox"/>
<b>SUBTOTAL (1) (\$0.00)</b>		126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="checkbox"/>
<b>2. CLAIMS</b>		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	146/\$760	246/\$380	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="checkbox"/>
Fee Description	Fee Due	149/\$760	249/\$380	For each additional invention to be examined (37 CFR 1.129(b))	<input type="checkbox"/>
103/\$18	203/\$9	Other fee (specify): Remainder of Filing Fee (from \$614.00 previously submitted at time of filing)		<b>146</b>	
102/\$78	202/\$39	Other fee (specify): 26 Extra claims (Large entity)		<b>468</b>	
104/\$260	204/\$130	<b>SUBTOTAL (3) (\$744.00)</b>			
109/\$78	209/\$39				
110/\$18	210/\$9				
Reissue independent claims over original patent					
Reissue claims in excess of 20 and over original patent					
		(Col. 1) For	(Col. 2) Highest No. Previously Paid For	(Col. 3) Extra**	
		No. of Existing Claims			
		minus* 20 or			
		minus* 3 or			
		TOTAL			
		INDEP			
		[ ] First presentation of multiple dependent claim			
		* Subtract the greater number of Col. 2			
		** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3			
		<b>SUBTOTAL (2) \$0.00</b>			

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Reneé M. DuBord	Reg. Number	42,500
Signature	<i>Reneé DuBord</i>	Date	1/18/00

<sup>1</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

Rev. 11/04/99

19923/03676/DOCS/988549.1



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT(S): David Banks, et al.  
SERIAL NO.: 09/426,567  
FILING DATE: October 22, 1999  
TITLE: A Method and System For Creating And  
Implementing Zones Within A Fibre Channel System  
EXAMINER: Not yet assigned  
GROUP ART UNIT: 2731  
ATTY. DKT. NO.: 3676 US

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 1/18/00

By: Renée DuBord

Renée DuBord, Reg. No.: 42,500

BOX MISSING PARTS  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

SIR:

Responsive to Form PTO-1533 dated November 18, 1999 received in the above-identified patent application, enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration;
- ☒ a *Notice of Change of Small Entity Status* document;
- ☒ a check in the amount of \$146.00 to correct the insufficient funds submitted previously at time of filing (large entity);
- ☒ a check in the amount of \$468.00 in payment for 26 extra claims (large entity);
- ☒ payment in the amount of \$130.00 for the surcharge.

Also submitted within this **Response** as instructed on the Official Filing Receipt, are:

- ☒ a *Request for Corrected Filing Receipt*;
- ☒ a copy of the Official Filing Receipt;
- ☒ a copy of the originally submitted, unexecuted Declaration;
- ☒ a copy of the executed Declaration.

Respectfully submitted,

DAVID BANKS, ET AL.

Dated: 11/18/00

By: Renée DuBord

Renée DuBord, Reg. No.: 42,500

Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (650) 858-7133

Fax.: (650) 494-1417



UNITED STATES DEPARTMENT OF COMMERCE

**Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/426,567	10/22/99	BANKS	D 3676

RENEE M DUBORD  
FENWICK & WEST LLP  
TWO PALO ALTO SQUARE  
PALO ALTO CA 94306

0242/1118

NOT ASSIGNED

2731

**DATE MAILED:**

11/18/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION****Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1:136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 1742.

☒ 1. The statutory basic filing fee is:

☐ missing.☒ insufficient.

Applicant must submit \$ 2800.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 234 468 for 26 total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

***Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.***

☐ 3. The oath or declaration:

☐ is missing or unsigned.

☐ does not cover the newly submitted items-

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

The application must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: Need a signed small entity statement. (na)

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice *MUST* be returned with the reply.**

Customer Service Center

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		130.00 00
		468.00 00
		146.00 00



PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): David Banks, et al.  
SERIAL NO.: 09/426,567  
FILING DATE: October 22, 1999  
TITLE: A Method and System For Creating And  
Implementing Zones Within A Fibre Channel System  
EXAMINER: Not yet assigned  
GROUP ART UNIT: 2731  
ATTY. DKT. NO.: 3676 US

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 1/18/00

By: Renée Dubord  
Renée M. Dubord, Reg. No.: 42,500

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

NOTICE OF CHANGE OF SMALL ENTITY STATUS

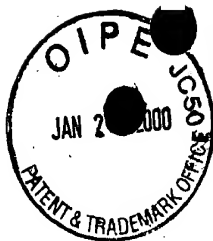
SIR:

Applicant hereby notifies the Patent and Trademark Office that Applicant no longer claims small entity status. Although the paperwork, i.e. *Small Entity Statement*, was never filed in the above-referenced case, applicant implied such status via submission of small entity filing fees at time of filing. Applicant is no longer eligible for small entity status and is submitting the proper fees in connection with the above-referenced application.

Respectfully submitted,  
DAVID BANKS, ET AL.

Dated: 1/18/00

By: Renée Dubord  
Renée M. Dubord, Reg. No.: 42,500  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7880  
Fax.: (650) 494-1417



4

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): David Banks, et al.  
SERIAL NO.: 09/426,567  
FILING DATE: October 22, 1999  
TITLE: A Method and System For Creating And Implementing Zones  
Within A Fibre Channel System  
EXAMINER: Not yet assigned  
GROUP ART UNIT: 2731  
ATTY. DKT. NO.: 3676 US

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 1/18/00

By: *Renée M. DuBord*

Renée M. DuBord, Reg. No.: 42,500

ASSISTANT COMMISSIONER FOR PATENTS  
OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER  
WASHINGTON, DC. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following errors:

1. The title is erroneously stated as "Method and System for Creating And Implementing Zones Within A Fibre Channel System." The correct title, is "A Method and System for Creating And Implementing Zones Within A Fibre Channel System" as evidenced by a copy of the original unexecuted Declaration submitted at the time of filing with the above-referenced application, and the executed Declaration, a copy of which is also enclosed.

2. The Inventors are erroneously stated as only David Banks, Kumar Malavalli, Paul Ramsay, and Teow Kha Sin. The Filing Receipt should be corrected to indicate the names of the Applicants as David Banks, Kumar Malavalli, Paul Ramsay, Teow Kha Sin and **Jieming Zhu (Fremont, CA)**. That there should be five inventors listed under Applicant(s) is evidenced by a copy of the original unexecuted Declaration submitted at the time of filing with the above-referenced application, and the executed Declaration, a copy of which is also enclosed.

Please issue a corrected Filing Receipt rectifying these errors.

The corrections are not due to any error by the Applicant and therefore no fee is due.

Respectfully submitted,  
DAVID BANKS ET AL.

Dated: 1/18/00

By: Renée M. DuBord  
Renée M. DuBord, Reg. No.: 42,500  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7880  
Fax.: (650) 494-1417



RECEIVED

FILING RECEIPT

NOV 23 1999

FENWICK & WEST LLP



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

COPY

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/426,567	10/22/99	2731	\$614.00 3676		6	46	3

RENEE M DUBORD  
FENWICK & WEST LLP  
TWO PALO ALTO SQUARE  
PALO ALTO CA 94306

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) DAVID BANKS, PLEASANTON, CA; KUMAR MALAVALLI,  
SANTA CLARA, CA; PAUL RAMSAY, FREMONT, CA; TEOW KHA SIN,  
SAN JOSE, CA; *Jieming Zhu, Fremont, CA.*

CONTINUING DATA AS CLAIMED BY APPLICANT-  
PROVISIONAL APPLICATION NO. 60/105,423 10/23/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/16/99  
TITLE

**A** METHOD AND SYSTEM FOR CREATING AND IMPLEMENTING ZONES WITHIN A FIBRE  
CHANNEL SYSTEM

PRELIMINARY CLASS: 370

DATA ENTRY BY: RAWLS, ROXANNE

TEAM: 04 DATE: 11/16/99



(See reverse for new important information)

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128) ); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j) ); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE ---- The Following Information about the Filing Receipt:**

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:  
Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

COPY

PTO/SB/01 (6-95) (modified)  
 Approved for use through 10/31/96 OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0010/PTO Rev. 6/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	3676
<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>		First Named Inventor	David Banks
		COMPLETE IF KNOWN	
		Application Number	not yet known
		Filing Date	October 22, 1999
		Group Art Unit	not yet known
		Examiner Name	not yet known
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing         OR <input type="checkbox"/> Declaration Submitted after Initial Filing			

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A METHOD AND SYSTEM FOR CREATING AND IMPLEMENTING ZONES WITHIN A FIBRE CHANNEL SYSTEM**

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 385(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority	Certified Copy Attached?	
			Not Claimed	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.
60/105,423	October 23, 1998	

19923-03676//948959.1

Rev. 10/22/99

<b>DECLARATION</b>	<b>Page 2</b>
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I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
<b>Greg T. Sueoka</b> <b>Renée M. DuBord</b> <b>Michael W. Farn</b> <b>Douglas Luftman</b>	<b>33,800</b> <b>42,500</b> <b>41,015</b> <b>43,762</b>		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to:

**Renée M. DuBord**  
**Fenwick & West LLP**  
**Two Palo Alto Square**  
**Palo Alto, CA 94306**  
**U.S.A.**

Telephone (650) 858-7880	Fax (650) 494-1417
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:** ☐ A petition has been filed for this unsigned inventor

Given Name	Middle Initial	Family Name	Banks	Suffix e.g. Jr.
David				

Inventor's Signature	Date
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Residence: City	Pleasanton	State	CA	Country	USA	Citizenship	USA
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Mailing Address	
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Mailing Address	
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City	Pleasanton	State	CA	Zip		Country	USA
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☒ Additional inventors are being named on supplemental sheet(s) attached hereto

DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet				
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Kumar		Middle Initial		Family Name	Malavalli		Suffix e.g. Jr.	
Inventor's Signature						Date			
Residence: City	Santa Clara		State	CA	Country			Citizenship	India
Mailing Address									
Mailing Address									
City	Santa Clara		State	CA	Zip			Country	USA
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Paul		Middle Initial		Family Name	Ramsay		Suffix e.g. Jr.	
Inventor's Signature						Date			
Residence: City	Fremont		State	CA	Country	USA		Citizenship	UK
Mailing Address									
Mailing Address									
City	Fremont		State	CA	Zip			Country	USA
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Kha Sin		Middle Initial		Family Name	Teow		Suffix e.g. Jr.	
Inventor's Signature						Date			
Residence: City	San Jose		State	CA	Country	USA		Citizenship	Malaysia
Mailing Address									
Mailing Address									
City	San Jose		State	CA	Zip			Country	USA
<b>Name of Additional Joint Inventor, if any:</b>					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Jieming		Middle Initial		Family Name	Zhu		Suffix e.g. Jr.	
Inventor's Signature						Date			
Residence: City	Fremont		State	CA	Country	USA		Citizenship	USA
Mailing Address									
Mailing Address									
City	Fremont		State	CA	Zip			Country	USA
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto									

COPY

PTO/SB/01 (6-95) (modified)  
 Approved for use through 10/31/96 OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0010/PTO Rev. 6/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	3676
<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>		First Named Inventor	David Banks
		COMPLETE IF KNOWN	
		Application Number	09/426,567
		Filing Date	October 22, 1999
		Group Art Unit	2731
		Examiner Name	not yet known
<input type="checkbox"/> Declaration Submitted with Initial Filing         OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing			

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A METHOD AND SYSTEM FOR CREATING AND IMPLEMENTING ZONES WITHIN A FIBRE CHANNEL SYSTEM**

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) [10/22/1999] as United States Application Number or PCT International

Application Number [09/426,567] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 385(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.
60/105,423	October 23, 1998	

19923-03676//948959.1

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DECLARATION				Page 2	
<p>I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.</p>					
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)		
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.					
<p>As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:</p>					
Name		Registration Number		Name	
Greg T. Sueoka		33,800			
Renée M. DuBord		42,500			
Michael W. Farn		41,015			
Douglas Luftman		43,762			
<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.					
<p>Please direct all correspondence to:</p> <div style="text-align: center; margin-top: 10px;"> <b>Renée M. DuBord</b>  <b>Fenwick &amp; West LLP</b>  <b>Two Palo Alto Square</b>  <b>Palo Alto, CA 94306</b>  <b>U.S.A.</b> </div>					
Telephone		(650) 858-7880		Fax (650) 494-1417	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
<b>Name of Sole or First Inventor:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	David	Middle Initial		Family Name	Banks
Inventor's Signature				Date	1/4/2000
Residence: City	Pleasanton	State	CA	Country	USA
Mailing Address	4975 Middleton Place				
Mailing Address					
City	Pleasanton	State	CA	Zip	94566
				Country	USA
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto					

19923-03676/948959.1

Rev. 12/29/99

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Kumar	Middle Initial		Family Name	Malavalli	Suffix e.g. Jr.	
Inventor's Signature				Date	01/06/2000		
Residence: City	Santa Clara	State	CA	Country	USA	Citizenship	India CANADA
Mailing Address	369 Caldwell Place 24289 HILLVIEW ROAD						
Mailing Address							
City	Santa Clara	State	CA	Zip	95051	Country	USA
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Paul	Middle Initial		Family Name	Ramsay	Suffix e.g. Jr.	
Inventor's Signature				Date	1/4/2000		
Residence: City	Fremont	State	CA	Country	USA	Citizenship	UK
Mailing Address	4949 Seneca Park Loop						
Mailing Address							
City	Fremont	State	CA	Zip	94538	Country	USA
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Kha Sin	Middle Initial		Family Name	Teow	Suffix e.g. Jr.	
Inventor's Signature				Date	Jan 4/2000		
Residence: City	San Jose	State	CA	Country	USA	Citizenship	Malaysia
Mailing Address	984 Wallace Drive						
Mailing Address							
City	San Jose	State	CA	Zip	95120	Country	USA
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Jieming	Middle Initial		Family Name	Zhu	Suffix e.g. Jr.	
Inventor's Signature				Date	1-4-2000		
Residence: City	Fremont	State	CA	Country	USA	Citizenship	USA
Mailing Address	5567 Ridgewood Drive						
Mailing Address							
City	Fremont	State	CA	Zip	94555	Country	USA
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto							

19923-03676//948959.1

Rev. 12/29/99